SKORMA  O STATEME  O S	Document Number  Number-Kind Code <sup>2 (if known)</sup> US-6,496,342  US-6,377,874  US-6,314,378  US-6,181,985	Application Number Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number NT DOCUMENTS Publication Date MM-DD-YYYY  12/17/2002 04/23/2002	Complete if Known 10/786,628 February 25, 2004 Ockert et al. 2836 Unknown 3034.05US02  Name of Patentee or Applicant of Cited Document  Horvath et al.	
RD R	Of 2  U.S. PATEN  Document Number  Number-Kind Code <sup>2 (1/ known)</sup> US-6,496,342  US-6,377,874  US-6,314,378  US-6,181,985	Filing Date First Named Inventor Art Unit Examiner Name Attorney Docket Number NT DOCUMENTS Publication Date MM-DD-YYYY  12/17/2002 04/23/2002	February 25, 2004  Ockert et al.  2836  Unknown  3034.05US02  Name of Patentee or Applicant of Cited Document  Horvath et al.	
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EXAMINER INITIAL Cite No. I No	U.S. PATEN  Document Number  Number-Kind Code <sup>2 (if known)</sup> US-6,496,342  US-6,377,874  US-6,314,378  US-6,181,985	Attorney Docket Number NT DOCUMENTS Publication Date MM-DD-YYYY  12/17/2002 04/23/2002	Name of Patentee or Applicant of Cited Document  Horvath et al.	
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INTIAL NO.	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	WIWI-DD-11111	of Cited Document	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## Substitute for form 1449/PTO Complete if Known 10/786,628 **Application Number** Filing Date February 25, 2004 FORMATION DISCLOSURE TEMENT BY APPLICANT First Named Inventor Ockert et al. (Use as many sheets as necessary) 2836 Art Unit **Examiner Name** Unknown 3034.05US02 Attorney Docket Number of 2 NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item **EXAMINER** Cite INITIAL (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published Product Bulletin ESPT-2., CANNON TECHNOLOGIES, INC., ExpressStat RD Programmable Demand Response Thermostat, 2002, pp.2. Marketing Brochure, CANNON TECHNOLOGIES, INC., Load Response Center, RD 2003, pp. 2. Marketing Brochure, CANNONE TECHNOLOGIES, Cannon Distribution RD Automation Systems, pp. 1. Marketing Brochure, CANNON TECHNOLGIES, Cannon 900 MHz Flex™ Paging RD Load Control Receiver, 1999/2000, pp. 4. Marketing Brochure, CANNON TECHNOLOGIES, YUKON™ Software for RD Advanced Energy Services, 2002. Marketing Brochure, CANNON TECHNOLOGIES, YUKON ™ Energy RD Information Aggregation Curtailment Control for your largest customers, 2002, can be located at website www.readmeter.com. Marketing Brochure, CANNON TECHNOLOGIES, YUKON ™ Look how easy RD we've made load control, 2002, can be located at website www.loadcontrol.com. Marketing Brochure, CANNON TECHNOLOGIES, YUKON ™ Intelligent RD Monitoring and Notification, 2003, can be located at website www.esubstation.com.

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/Robert Deberadinis/

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